Analytic Investment Management LLC
Information and Documents Needed for Online IB Account Application

For Individual Retirement Account (IRA) account application

Information needed:

(1) Email address (Gmail preferred. Some email providers may delay email transmission for a few hours)
(2) Type of IRA: Traditional, Roth, or SEP
(3) Account holder name, date of birth, social security number, address, and phone number. Name and social security must be the same if you want to rollover from other IRA account.
(4) Employer name, address, phone number, and occupation.
(5) Estimated net worth, annual net income, and total asset.
(6) A list of primary and contingent beneficiary name, social security number, date of birth, and percentage interest.
(7) If the account holder and any immediate family member is registered as a broker-dealer or an employee, director or owner of a securities or commodities brokerage firm, provide the brokerage firm name, address, and phone number.
(8) All other Interactive Brokers account user names or account numbers that the account holder has at Interactive Brokers.
(9) If funding by Wire or ACH: bank name, account number and bank routing number
(10) If funding by ACAT: brokerage name and account number

IB could ask for some documents to be scanned and emailed at the end of application:

(1) Proof of account holder's identity: driver license, alien identification card, passport.
(2) Proof of residential address: bank /brokerage/mortgage statement, utility bill, or lease agreement.
(3) If spouse is not the primary beneficiary, a copy of spousal authorization and spouse identification.
(4) If beneficiary is an estate, trust, or charity, the identification document of the entity.

For Individual or Joint account application

Information needed:

(1) Email address (Gmail preferred. Some email providers may delay email transmission for a few hours)
(2) Account holder name, date of birth, social security number, address, and phone number. Name and social security must be the same if you want to ACAT transfer from other brokerage account.
(3) Employer name, address, phone number, and occupation.
(4) Estimated net worth, annual net income, and total asset.
(5) If the account holder and any immediate family member is registered as a broker-dealer or an employee, director or owner of a securities or commodities brokerage firm, provide the brokerage firm name, address, and phone number.
(6) All other Interactive Brokers account user names or account numbers that the account holder has at Interactive Brokers.
(7) If funding by Wire or ACH: bank name, account number and bank routing number
(8) If funding by ACAT: brokerage name and account number

IB could ask for some documents to be scanned and emailed at the end of application:

(1) Proof of account holder's identity: driver license, alien identification (green) card, passport.
(2) Proof of residential address: bank /brokerage/mortgage statement, utility bill, or lease agreement.

For Trust account application

Information needed:

(1) Email address (Gmail preferred. Some email providers may delay email transmission for a few hours)
(2) Trust name, trust type, established date, identification number, address, and phone number. Name and identification number must be the same if you want to ACAT transfer from other brokerage account.
(3) Name, date of birth, social security number, address, and phone number of trustee, trust settler, grantor, and trust beneficiary.
(4) Trustee employer name, address, phone number, and occupation.
(5) Estimated net worth, annual net income, and total asset of the trust.
(6) If the trustee or beneficiary and any immediate family member is registered as a broker-dealer or an employee, director or owner of a securities or commodities brokerage firm, provide the brokerage firm name, address, and phone number.
(7) All other Interactive Brokers account user names or account numbers that the trustee or beneficiary has at Interactive Brokers.
(8) If funding by Wire or ACH: bank name, account number and bank routing number.
(9) If funding by ACAT: brokerage name and account number.

IB could ask for some documents to be scanned and emailed at the end of application:

(1) Proof of the trust's existence: document establishing the trust, or government tax form.
(2) Proof of the trust's US taxpayer identification number: government tax form or financial statement.
(3) Proof of the authority of the trust to trade on margin: document establishing the trust or trust resolution.
(4) Proof of the identity of each trustee and beneficiary: driver license, alien identification card, passport.
(5) Proof of residential address of each trustee and beneficiary: bank /brokerage/mortgage statement, utility bill, or lease agreement.

Contact Analytic Investment Management LLC at 408-916-5689 For Institution, Corporation, or Business account application